

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

ASI, INC.,

Civil No. 19-cv-0763 (JRT/HB)

Plaintiff,

v.

PROTECTIVE ORDER

AQUAWOOD LLC, *et al.*,

Defendants.

This matter is before the Court on the Joint Motion to Enter Partially Stipulated Protective Order (ECF No. 222), seeking an order designating that certain information and documents may be disclosed only in designated ways. Having reviewed the motion, and conferred with the parties about their differences,

IT IS HEREBY ORDERED that the Joint Motion (ECF No. 222) is **GRANTED**, and confidential information shall be disclosed only in the following ways:

1. Definitions. As used in this protective order:

- (a) “attorney” means an attorney who has appeared in this action or a Related Action;
- (b) “confidential document” means a document designated as confidential under this protective order;
- (c) to “destroy” electronically stored information means to delete from all databases, applications, and file systems so that the information is not accessible without the use of specialized tools or techniques typically used by a forensic expert;

- (d) “document” means information disclosed or produced in discovery, including at a deposition;
- (e) “notice” or “notify” means written notice;
- (f) “party” means a party to this action or to a Related Action;
- (g) “protected document” means a document protected by a privilege or the work-product doctrine; and
- (h) “Related Action” means any of the following cases, including any appeals therein and any non-party subpoena enforcement actions commenced in connection with any of the following cases:
 - *Ackelson v. Aquawood, LLC, et al.*, No. 4:19-cv-135 (S.D. Iowa);
 - *Drake v. Aquawood, LLC, et al.*, No. 4:19-cv-132 (S.D. Iowa);
 - *Miller v. Aquawood, LLC, et al.*, No. 4:19-cv-134 (S.D. Iowa);
 - *Roush v. Aquawood, LLC, et al.*, No. 4:19-cv-131 (S.D. Iowa);
 - *Rennenger v. Aquawood, LLC, et al.*, No. 4:19-cv-123 (S.D. Iowa);

2. Designating a Document or Deposition as Confidential.

- (a) A party or non-party disclosing or producing a document may designate it as confidential if the party or non-party contends that it contains confidential or proprietary information. Information is confidential or proprietary for purposes of this protective order if it is or contains proprietary information, financial information, personally identifiable information or commercially competitive information.
- (b) A party or non-party may designate a document as confidential by conspicuously marking each page with the word “confidential.”
- (c) Deposition testimony may be designated as confidential:

- (1) on the record at the deposition; or
 - (2) after the deposition, by promptly notifying the parties and those who were present at the deposition.
- (d) If a witness is expected to testify as to confidential or proprietary information, a party or non-party may request that the witness's deposition be taken in the presence of only those persons entitled to receive confidential documents.

3. Who May Receive a Confidential Document.

- (a) A confidential document may be used only in this action or a Related Action.
- (b) No person receiving a confidential document may reveal it, except to:
 - (1) the court and its staff in this action or a Related Action;
 - (2) an attorney or an attorney's partner, associate, or staff;
 - (3) a person shown on the face of the confidential document to have authored or received it;
 - (4) a court reporter or videographer retained in connection with this action or a Related Action;
 - (5) a party to this action;
 - (6) a party to a Related Action; and
 - (7) any person who:

- (A) is retained to assist a party or attorney with this action or a Related Action and/or is a testifying witness in this action or a Related Action; and
- (B) signs a declaration that contains the person's name, address, employer, and title, and that is in substantially this form:

I have read, and agree to be bound by, the protective order in the case captioned *ASI, Inc. v. Aquawood, LLC et al.*, Civil No. 19-cv-0763 (JRT/HB) in the United States District Court for the District of Minnesota (the "Lawsuit"). While confidential information is in my possession, I will not use the confidential information for any other purpose or in any other matter than my work on the Lawsuit and/or the Related Actions (as defined in the protective order). As soon as my work in connection with the Lawsuit and Related Actions has ended, but not later than 30 days after the termination of all of those actions (including any appeals), I will return or destroy any confidential document that I received, any copy of or excerpt from a confidential document, and any notes or other document that contains information from a confidential document.

I declare under penalty of perjury that the foregoing is true and correct.

- (c) A party may supplement the "confidential" mark (see paragraph 2(b)) with the words "attorney's eyes only," in which case a confidential document so designated shall not be revealed to another party.
- (d) If a confidential document is revealed to someone not entitled to receive it, the parties must make reasonable efforts to retrieve it.
- (e) Disclosure to Third-Party Competitors. If a party seeks to disclose confidential information to a third party who is a competitor of the producing party, the disclosing party will notify the producing party in

writing of the identity of said third-party competitor a minimum of 7 days prior to the disclosure, to enable the producing party to object and, if necessary, bring an appropriate motion. No disclosure of confidential information to the third-party competitor will occur absent the consent of the producing party or a court order authorizing the disclosure. A party will not be deemed to have violated this provision if the Court finds the party acted in the good faith belief that the third-party to which disclosure was made was not a competitor.

4. **Serving This Protective Order on a Non-Party.** A party serving a subpoena on a non-party must simultaneously serve a copy of this protective order and of D. Minn. LR 5.6.
5. **Correcting an Error in Designation.** A party or non-party who discloses or produces a confidential document not designated as confidential may, within 7 days after discovering the error, provide notice of the error and produce a copy of the document designated as confidential.
6. **Use of a Confidential Document in Court.**
 - (a) **Filing.** This protective order does not authorize the filing of any document under seal. A confidential document may be filed only in accordance with D. Minn. LR 5.6.
 - (b) **Presentation at a hearing or trial.** A party intending to present another party's or a non-party's confidential document at a hearing or trial must

promptly notify the other party or the non-party so that the other party or the non-party may seek relief from the court.

7. Changing a Confidential Document's Designation.

- (a) Document disclosed or produced by a party. A confidential document disclosed or produced by a party remains confidential unless the parties agree to change its designation or the court orders otherwise.
- (b) Document produced by a non-party. A confidential document produced by a non-party remains confidential unless the non-party agrees to change its designation or the court orders otherwise after providing an opportunity for the non-party to be heard.
- (c) Changing a designation by court order. A party who cannot obtain agreement to change a designation may move the court for an order changing the designation. If the motion affects a document produced by a non-party then, with respect to the motion, that non-party is entitled to the same notice and opportunity to be heard as a party. The party or non-party who designated a document as confidential must show that the designation satisfies Fed. R. Civ. P. 26(c).

8. Handling a Confidential Document after Termination of Litigation.

- (a) Except as provided in paragraph 8(b), within 60 days after the termination of this action and all Related Actions (including any appeals), each party and each attorney must (1) return or destroy all confidential documents; and

(2) notify the disclosing or producing party that it has returned or destroyed all confidential documents within the 60 day period.

- (b) Notwithstanding paragraph 8(a), each attorney may retain a copy of all pleadings, motion papers, transcripts, correspondence, and attorney working files that contain or attach specific confidential documents or excerpts therefrom, provided such confidential documents or excerpts were not included or attached for the sole purpose of avoiding the obligation to return or destroy confidential documents. For purposes of this paragraph 8(b), “attorney working files” does not include a database, load file, or other compilation of documents received in discovery, even if that database or other compilation includes coding, review notes, or other work product related to the review of those documents.
- (c) Notwithstanding paragraph 8(a), each person who has received a confidential document pursuant to paragraph 3(b)(7)(B) must return or destroy all confidential documents, any copies of or excerpts from confidential documents, and any notes or other documents that contain information from confidential documents, as soon as that person’s work on the action or Related Action has ended but not later than 30 days after the conclusion of all such actions.

9. Inadvertent Disclosure or Production to a Party of a Protected Document.

- (a) Notice.

- (1) A party or non-party who discovers that it has inadvertently disclosed or produced a protected document must promptly notify the receiving party and describe the basis of the claim of privilege or protection. If the party or non-party provides such notice and description, the privilege or protection is not waived.
- (2) A party who discovers that it may have received an inadvertently disclosed or produced protected document must promptly notify the disclosing or producing party or non-party.
- (b) Handling of Protected Document. A party who is notified or discovers that it may have received a protected document must comply with Fed. R. Civ. P. 26(b)(5)(B).

10. Security Precautions and Data Breaches.

- (a) Each party must make reasonable efforts to protect the confidentiality of any confidential document disclosed or produced to that party.
- (b) A party who learns of a breach of confidentiality must promptly notify the disclosing or producing party of the scope and nature of that breach and make reasonable efforts to remedy the breach.

11. Survival of Obligations. The obligations imposed by this protective order survive the termination of this action.

SO ORDERED.

Dated: December 23, 2020

s/ Hildy Bowbeer
HILDY BOWBEER
United States Magistrate Judge